

FDIC (Citizens National and Strategic Capital) v. Bear Stearns Asset Backed Securities I LLC, et al.
12-cv-4000 (S.D.N.Y.)

Appendix A – Grounds for Dismissal of Claims

Deal No.	Offering	Defendant(s)	Defendant's Alleged Role	Certificate Issue Date	Banks' Alleged Purchase Date(s) & Class	Untimely – 1933 Act 1 yr Statute of Limitations ¹	Failure to Plead Reliance	Defendant Did Not Underwrite Tranche	No Control Person Liability (Defendant)
1	RALI 2006-QS6	RBS	Underwriter	6/29/2006	12/10/2007 (1-A-16)	X	X		n/a
2	RALI 2006-QS18	DBS	Underwriter	12/28/2006	9/24/2007 (1-M-1) 9/27/2007 (1-M-1) 10/12/2007 (1-M-1)	X		X	n/a
3	RAST 2007-A1	HSBC RBS	Underwriter Underwriter	1/30/2007	2/6/2008 (A-9)	X	X	X	n/a
5	RALI 2006-QS16	RBS	Underwriter	11/29/2006	12/14/2007 (A-7) 12/7/2007 (A-7)	X			n/a
7	CSMC 2006-6	CSFB Mortg. Secs. Credit Suisse Secs. Credit Suisse Mgmt.	Issuer Underwriter Control Person	6/29/2006	4/11/2008 (1-A-8) 12/6/2007 (1-A-12)	X	X		X
10	RAST 2006-A11	Credit Suisse Secs. UBS	Underwriter Underwriter	8/29/2006	2/28/2008 (1-A-3)	X	X	X	n/a
11	RAST 2006-A14CB	RBS	Underwriter	11/3/2006	1/16/2008 (1-A-2)	X	X		n/a
12	CMALT 2006-A6	RBS	Underwriter	11/29/2006	3/3/2008 (1-A-4)	X	X		n/a

¹ All claims were barred as of May 22, 2009 (the date of the FDIC's appointment as receiver) because the Banks had actual or constructive knowledge of the alleged claims before May 22, 2008.